IAPS Rec'd PCT/PTO 0 @ JAN 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 050732PCTUS

	CERNING A SUBMISSIC	U.S. APPLICATION NO. (Inknown, see 37 CFR 1.5)						
PCT/	IONAL APPLICATION NO. NO2004/000216	INTERNATIONAL FILING DATE 13 July 2004	PRIORITY DATE CLAIMED 16 July 2003					
TITLE OF INVENTION METHOD FOR THE PRODUCTION AND UPGRADING OF OIL								
APPLICANT(S) FOR DO/EO/US Ola Olsvik, Kjell Moljord								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 🔀 1	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. 🔲 Т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. 🔲 1	The US has been elected (Article 31).							
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a. is attached hereto (required only if not communicated by the International Bureau).							
	b. has been communicated by the International Bureau.							
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
	a. is attached hereto.							
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).						
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
	a. are attached hereto (required only if not communicated by the International Bureau).							
	b. have been communicated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
	d. have not been made and will not be made.							
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:								
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12. X	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. X	A preliminary amendment.							
14.	An Application Data Sheet under 37 CFR 1.76.							
15.	A substitute specification.							
16. X	A power of attorney and/or change of address letter.							
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published Interr	national Application under 35 U.S.C. 154(d)	(4).					
19.	A second copy of the English language	ge translation of the international application	nunder 35 U.S.C. 154(d)(4).					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 Page 1 of 3

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U.S. APPLICA	TION NO. (IF kn 10/5	63991	INTERNATIONAL AF	PPLICATION NO.	O50732PCTUS	CKET NUMBER	
20. Other items or information:							
	-	ive been submitted			CALCULATIONS	PTO USE ONLY	
21. Sasic national fee (37 CFR 1.492(a))					^{\$} 300.00		
22. 🔀 Exam	nination fee (3						
If the written oping by IPEA All other situation	/US indicates a	\$ 200.00					
If the written opin		VUS or the Internation					
IPEA/US Search fee (37 C	S indicates all o CFR 1.445(a)(2	\$ 500.00					
			er than the US and provided to				
previousl	y communicat	ed to the US by the IE	3	\$400			
741 Outer Situation			\$1,000.00				
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electroni	ic medium) (37	7 CFR 1.492(j)).	1.821(c) or (e) or computer p	rogram listing in an			
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Total Sheets	Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)						
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Surcharge of \$13 after the date of		\$					
CLAIMS		UMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	29	- 20 =	9	x \$50	\$ 450.00		
Independent clai		<u> </u>		× \$200	\$		
MULTIPLE DEP	ENDENT CLA	\$					
☐ Applicant els	ime emall enti	ity status. See 37 CFF	\$				
	anno oman che	\$ ₁ ,450.00					
Processing fee o	of \$130.00 for t						
claimed priority of			\$				
			\$ 1,450.00				
		assignment (37 CFR (37 CFR 3.28, 3.31).	\$ 40.00				
			\$				
					Amount to be refunded:	\$	
					Amount to be charged	\$1,490.00	

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a. A check in the amount of \$ to cover the a	bove fees is enclosed.					
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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1110. A duplicate copy of this sheet is enclosed.						
Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO: Christine R. Ethridge, Esq. Kirkpatrick & Lockhart Nicholson Graham LLP Henry W. Oliver Building 535 Smithfield Street Pittsburgh, PA 15222-2312 Signature Christine R. Ethridge NAME 30,557 REGISTRATION NUMBER						

IN THE UNITED STATES RECEIVING OFFICE

In re application of

Olsvik et al.

: METHOD FOR THE PRODUCTION

AND UPGRADING OF OIL

International Application No. PCT/NO2004/000216
International Filing Date

13 July 2004

Agent Docket No. 050732PCTUS

EXPRESS MAIL CERTIFICATE

Pittsburgh, Pennsylvania 15222 January 10, 2006

Mail Stop: PCT

Commissioner for Patents

P.O. Box: 1450

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I hereby certify that the following attached paper(s) or fee:

- TRANSMITTAL LETTER TO THE UNITED STATES
 DESIGNATED/ELECTED OFFICE CONCERNING A FILING UNDER 35
 U.S.C. 371 (In duplicate- please to charge all and any additional fees to our Deposit Account);
- PRELIMINARY AMENDMENT
- DECLARATION
- 3.73 (b) STATEMENT AND POWER OF ATTORNEY AND CORRESPONDENCE ADDRESS INDICIATION FORM
- PATENT RECORDATION COVER SHEET-- PTO FORM-1595
- ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

are being deposited with the United Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: PCT, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450.

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